



THOMAS L. GARTHWAITE, M.D.
Director and Chief Medical Officer

FRED LEAF
Chief Operating Officer

COUNTY OF LOS ANGELES
DEPARTMENT OF HEALTH SERVICES
313 N. Figueroa, Los Angeles, CA 90012
(213) 240-8101

BOARD OF SUPERVISORS

Gloria Molina
First District

Yvonne Brathwaite Burke
Second District

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Third District

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Fifth District

August 26, 2004

The Honorable Board of Supervisors
County of Los Angeles
383 Kenneth Hahn Hall of Administration
500 West Temple Street
Los Angeles, California 90012

Dear Supervisors:

**AMENDMENTS TO A NOTICE OF COOPERATIVE AGREEMENT FOR THE HIV
EPIDEMIOLOGY PROGRAM (All Districts) (3 Votes)**

IT IS RECOMMENDED THAT YOUR BOARD:

1. Accept Amendment No. 5 (Exhibit I) to the Calendar Year (CY) 2003 Notice of Cooperative Agreement (NCA) U62/CCU906253-12-5 from the Centers for Disease Control and Prevention (CDC) for the HIV/AIDS Surveillance and Seroprevalence Program that provides for a three-month no cost extension for all core Surveillance components from January 1, 2004 through March 31, 2004.
2. Accept Amendment No. 6 (Exhibit II) to the CY 2003 NCA U62/CCU906253-12-6, a nine-month cost extension award in the amount of \$393,912, from April 1, 2004 through December 31, 2004, for the Context of HIV Infection Project (CHIP) Program, increasing the total NCA funding amount to \$6,420,502.
3. Accept Amendment No. 7 (Exhibit III) to the CY 2003 NCA U62/CCU906253-12-7, for a three-month cost extension, increasing funds for Supplement to HIV/AIDS Surveillance/ Adult Spectrum of Disease (SHAS/ASD) components from April 1, 2004 through June 30, 2004, in the amount of \$166,602, increasing the total NCA funding amount to \$6,587,104.

4. Authorize the Department of Health Services to fill four new positions (1 Public Health Nurse, 2 Community Workers, 1 Laboratory Assistant), 100% offset by CDC funds, in excess of what is provided in the Department of Health Services' staffing ordinance pursuant to Section 6.06.020 of the County Code pending allocation by the Department of Human Resources. These four new positions are funded by CY 2004 NCA Agreement.

PURPOSE AND JUSTIFICATION OF RECOMMENDED ACTIONS:

Approval of the recommended actions will allow for the continuation of projects associated with the HIV/AIDS Surveillance and Seroprevalence Program. The additional positions are necessary to assist with the implementation of the projects.

FISCAL IMPACT:

The total program cost for the HIV/AIDS Surveillance and Seroprevalence Program under the CY 2003 NCA No. U62/CCU906253-12 for the period January 1, 2003 through December 31, 2004 is \$6,587,104, (see Budget Exhibit IV) 100% offset by CDC funds. The NCA includes the following amendments:

- Amendment No. 5 awards a three-month no cost extension for all core surveillance components from January 1, 2004 through March 31, 2004;
- Amendment No. 6 awards a nine-month cost extension to the CHIP Program from April 1, 2004 through December 31, 2004 in the amount of \$393,912, increasing the total funding amount from \$6,026,590 to \$6,420,502;
- Amendment No. 7 provides a three-month cost extension from April 1, 2004 through June 30, 2004, for the SHAS/ASD components of the Surveillance and Seroprevalence Program in the amount of \$166,602, increasing the total funding amount from \$6,420,502 to \$6,587,104.

Funding is included in the Fiscal Year 2004-05 Adopted Budget.

There are no net County costs associated with these actions.

The Honorable Board of Supervisors
August 26, 2004
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FACTS AND PROVISIONS/LEGAL REQUIREMENTS:

NCA For CY 2003

On January 2, 2003, the Department of Health Services (Department) received CY 2003 NCA No. U62/CCU906253-12 from CDC for the HIV/AIDS Surveillance and Seroprevalence Project in the amount of \$3,795,118.

Beginning April 14, 2003 through December 10, 2003, Amendment Nos. 1 through 4 to the CY 2003 NCA were processed via delegated authority provided on December 17, 2002. Amendments 1 through 3 provided reductions and increases to the HIV/AIDS Surveillance and Seroprevalence Program and Amendment No. 4 extended the term of the NCA through March 31, 2004.

Amendment Nos. 5 through 7 to the CY 2003 NCA provide term extensions, reductions, and increases to various program components of the HIV Epidemiology Program as stated above.

County Counsel has reviewed and approved Amendment Nos. 5, 6, and 7 (Exhibits I, II, and III) as to form.

Attachment A provides additional information. Attachments B and C are the Grants Management Statement which the Board instructed all County Departments to include in all Board letters for grant awards exceeding \$100,000.

NCA for CY 2004

The Department received NCA No. U62/CCU923567-01 from CDC, effective April 1, 2004 through December 31, 2004, to provide federal funding in the amount of \$1,871,914 for the Department's HIV Surveillance and Seroprevalence Program. Amendment No. 1, also effective April 1, 2004 through December 31, 2004, increases CDC funding from \$1,871,914 to \$1,880,833 for CY 2004. The NCA and Amendment No. 1 were accepted through delegated authority. The 4 new positions being requested are funded by the CY 2004 NCA Agreement.

On June 2, 2004, the Department received Amendment No. 2, also effective April 1, 2004 through December 31, 2004, increasing CDC funding from \$1,880,333 to \$2,003,107. Funds were accepted via delegated authority as approved by the Board on December 9, 2003.

CONTRACT PROCESS:

Not applicable.

The Honorable Board of Supervisors

August 26, 2004

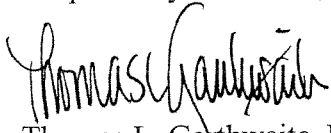
Page 4

IMPACT ON CURRENT SERVICES (OR PROJECTS):

Acceptance of the NCA amendments will allow for the continuation of HIV Surveillance and Seroprevalence Program Services.

When approved, this Department requires three signed copies of the Board's action.

Respectfully submitted,



Thomas L. Garthwaite, MD
Director and Chief Medical Officer

TLG:lb

Attachments (8)

c: Chief Administrative Officer
County Counsel
Executive Officer, Board of Supervisors

blcd3490.lb

SUMMARY OF AWARDS

1. TYPE OF SERVICES:

HIV/AIDS Surveillance and Seroprevalence Studies.

2. AGENCY NAMES AND CONTACT PERSONS:

Centers for Disease Control and Prevention
Procurement and Grant Office
2920 Brandywine Road, Room 3000, MS E-15
Atlanta, Georgia 30341-5539
Ann Cole, Grant Management Specialist
Telephone: (770) 488-2731
Fax No.: (770) 488-2670

3. TERM:

NCA No. U62/CCU906253-12: January 1, 2003 through December 31, 2004.

4. FINANCIAL INFORMATION:

The total program cost for HIV/AIDS Surveillance Program for the period January 1, 2003 through December 31, 2004 is \$6,587,104, 100% offset by CDC grant funds.

5. GEOGRAPHIC AREA SERVED:

Countywide.

6. DESIGNATED ACCOUNTABLE FOR MONITORING AND EVALUATION:

Gordon Bunch, MA, Director, HIV Epidemiology Program

7. APPROVALS:

Public Health:	John F. Schunhoff, Ph.D., Chief of Operations
Contracts and Grants Division:	Irene E. Riley, Director
County Counsel (as to form):	Kelly M. Auerbach, Deputy County Counsel

Los Angeles County Chief Administrative Office ATTACHMENT B
Grant Management Statement for Grants Exceeding \$100,000

Department: Health Services

Grant Project Title and Description

A variety of projects associated with HIV/AIDS Surveillance and Seroprevalence Program.

Funding Agency

CDC

Program (Fed. Grant #/State Bill or Code #)

U62/CCU906253-12-6

Grant Acceptance Deadline

Total Amount of Grant Funding: \$393,912

County Match Requirements

NONE

Grant Period: CY 2003

Begin Date: January 1, 2003

End Date:

December 31, 2004

Number of Personnel Hired Under this Grant: 26

Full Time 20

Part Time 6

Obligations Imposed on the County When the Grant Expires

Will all personnel hired for this program be informed this is a grant funded program? Yes X No

Will all personnel hired for this program be placed on temporary ("N") items? Yes X No

Is the County obligated to continue this program after the grant expires Yes No X

If the County is not obligated to continue this program after the grant expires, the Department will:

a). Absorb the program cost without reducing other services Yes No X

b). Identify other revenue sources Yes No X

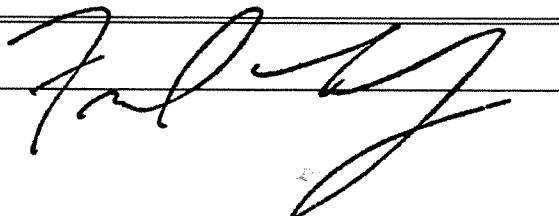
(Describe)

c). Eliminate or reduce, as appropriate, positions/program costs funded by this grant. Yes X No

Impact of additional personnel on existing space: None

Other requirements not mentioned above None

Department Head Signature



Date

9/2/14

**Los Angeles County Chief Administrative Office
Grant Management Statement for Grants Exceeding \$100,000**

Department: Health Services

Grant Project Title and Description

A variety of projects associated with HIV/AIDS Surveillance and Seroprevalence Program.

Funding Agency

CDC

Program (Fed. Grant #/State Bill or Code #)

U62/CCU906253-12-7

Grant Acceptance Deadline

Total Amount of Grant Funding: \$166,602

County Match Requirements

NONE

Grant Period: CY 2003

Begin Date: January 1, 2003

End Date:

June 30, 2004

Number of Personnel Hired Under this Grant: 26

Full Time 20

Part Time 6

Obligations Imposed on the County When the Grant Expires

Will all personnel hired for this program be informed this is a grant funded program? Yes ☒ No ☐

Will all personnel hired for this program be placed on temporary ("N") items? Yes ☒ No ☐

Is the County obligated to continue this program after the grant expires Yes ☐ No ☒

If the County is not obligated to continue this program after the grant expires, the Department will:

a). Absorb the program cost without reducing other services Yes ☐ No ☒

b). Identify other revenue sources Yes ☐ No ☒

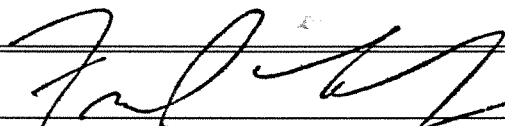
(Describe)

c). Eliminate or reduce, as appropriate, positions/program costs funded by this grant. Yes ☒ No ☐

Impact of additional personnel on existing space: None

Other requirements not mentioned above None

Department Head Signature



Date

9/2/04

02/05/2004		93.944	
SUPERSEDES AWARD NOTICE DATED 12/03/2003			
EP'S THAT ANY ADDITIONS OR RESTRICTIONS PREVIOUSLY IMPOSED REMAIN IN EFFECT UNLESS SPECIFICALLY RESCINDED.			
GRANT NO. U62/CCU906253-12-5		5. ADMINISTRATIVE CODES CCU62	
PROJECT PERIOD 01/01/1991		THROUGH 12/31/2004	
BUDGET PERIOD 01/01/2003		THROUGH 06/30/2004	

DEPARTMENT OF HEALTH AND HUMAN SERVICES
PUBLIC HEALTH SERVICE
CENTERS FOR DISEASE CONTROL AND PREVENTION

NOTICE OF COOPERATIVE AGREEMENT

AUTHORIZATION (LEGISLATION/REGULATION)
PHS ACT, SECT 301(A), 311, 317(K)(3)

EXHIBIT I

TITLE OF PROJECT (OR PROGRAM)
HIV/AIDS SURVEILLANCE & SEROPREVALENCE

GRANTEE NAME AND ADDRESS
LOS ANGELES COUNTY DEPT OF HEALTH SVCS
OFFICE OF AIDS PROGRAMS AND POLICY
600 S. COMMONWEALTH AVE, SUITE 1920
LOS ANGELES, CA 90005

10. DIRECTOR OF PROJECT (PROGRAM DIRECTOR/PRINCIPAL INVESTIGATOR)
PAUL SIMON, M.D. MPH
OFFICE OF HLTH ASSESSMENT & EPIDEMIOLOGY
313 N. FIGUEROA RM 127
LOS ANGELES, CA 90012

11. APPROVED BUDGET (EXCLUDES PHS DIRECT ASSISTANCE)

PHS GRANT FUNDS ONLY
TOTAL PROJECT COSTS INCLUDING GRANT FUNDS AND ALL OTHER FINANCIAL PARTICIPATION

(PLACE NUMERAL ON LINE) I

SALARIES AND WAGES.....\$	1,912,939
FRINGE BENEFITS.....\$	645,497
TOTAL PERSONNEL COSTS.....\$	2,558,436
CONSULTANT COSTS.....\$	50,000
EQUIPMENT.....\$	20,200
SUPPLIES.....\$	62,835
TRAVEL.....\$	26,200
PATIENT CARE-INPATIENT.....\$	0
PATIENT CARE-OUTPATIENT.....\$	0
ALTERATIONS AND RENOVATIONS.....\$	0
OTHER.....\$	756,140
CONSORTIUM/CONTRACTUAL COSTS.....\$	2,379,659
TRAINEE RELATED EXPENSES.....\$	0
TRAINEE STIPENDS.....\$	0
TRAINEE TUITION AND FEES.....\$	0
TRAINEE TRAVEL.....\$	0
TOTAL DIRECT COSTS.....\$	5,853,470
INDIRECT COSTS (0.00 % OF S&W/TADC) \$	569,699
TOTAL APPROVED BUDGET.....\$	6,423,169
SBIR FEE.....\$	0
FEDERAL SHARE.....\$	6,423,169
NON-FEDERAL SHARE.....\$	0

12. AWARD COMPUTATION FOR FINANCIAL ASSISTANCE

A. AMOUNT OF PHS FINANCIAL ASSISTANCE (FROM 11.U).....\$	6,423,169
B. LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIODS..\$	2,986,690
C. LESS CUMULATIVE PRIOR AWARD(S) THIS BUDGET PERIOD...\$	3,039,900
D. AMOUNT OF FINANCIAL ASSIST. THIS ACTION \$	396,579

13. RECOMMENDED FUTURE SUPPORT (SUBJECT TO THE AVAILABILITY OF FUNDS AND SATISFACTORY PROGRESS OF THE PROJECT)

BUDGET YEAR	TOTAL DIRECT COSTS	BUDGET YEAR	TOTAL DIRECT COSTS
A. 0	0	D. 0	0
B. 0	0	E. 0	0
C. 0	0	F. 0	0

14. APPROVED DIRECT ASSISTANCE BUDGET (IN LIEU OF CASH)

A. AMOUNT OF PHS DIRECT ASSISTANCE.....\$	0
B. LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIODS..\$	0
C. LESS CUMULATIVE PRIOR AWARDS FROM THIS BUDGET PERIOD\$	0
D. AMOUNT OF DIRECT ASSISTANCE THIS ACTION \$	0

15. PROGRAM INCOME SUBJECT TO 45 CFR PART 74, SUBPART F, OR 45 CFR 92.25, SHALL BE USED IN ACCORDANCE WITH ONE OF THE FOLLOWING ALTERNATIVES: (SELECT ONE AND PUT LETTER IN BOX.)

A. DEDUCTION
B. ADDITIONAL COSTS
C. MATCHING
D. OTHER RESEARCH (ADD/DEDUCT OPTION)
E. OTHER (SEE REMARKS)

B

THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE PHS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:
A. THE GRANT PROGRAM LEGISLATION CITED ABOVE. B. THE GRANT PROGRAM REGULATION CITED ABOVE. C. THIS AWARD NOTICE INCLUDING TERMS AND CONDITIONS, IF ANY, NOTED BELOW UNDER REMARKS. D. PHS GRANTS POLICY STATEMENT INCLUDING ADDENDA IN EFFECT AS OF THE BEGINNING DATE OF THE BUDGET PERIOD. E. 45 CFR PART 74 OR 45 CFR PART 92 AS APPLICABLE. IN THE EVENT THERE ARE CONFLICTING OR OTHERWISE INCONSISTENT POLICIES APPLICABLE TO THE GRANT, THE ABOVE ORDER OF PRECEDENCE SHALL PREVAIL. ACCEPTANCE OF THE GRANT TERMS AND CONDITIONS IS ACKNOWLEDGED BY THE GRANTEE WHEN FUNDS ARE DRAWN OR OTHERWISE OBTAINED FROM THE GRANT PAYMENT SYSTEM.

MARKS (OTHER TERMS AND CONDITIONS ATTACHED - ☒ YES ☐ NO)

SPONSOR: NATIONAL CENTER FOR HIV PREVENTION
*IDC RATE BASE: SEE ATTACHED

GRANTS MANAGEMENT OFFICER: (SIGNATURE) <i>Carlos M. Smiley</i>		(NAME-TYPED/PRINT) CARLOS M. SMILEY		(TITLE) GRANTS MANAGEMENT OFFICER	
OBJ. CLASS. 41.51		18. CRS. EIN: I-956000927-A1		19. LIST NO.: CO-050-L04	
FY-CAN 04-11515 04-9213063	DOCUMENT NO. CCU906253	ADMINISTRATIVE CODE CCU62	AMT. ACTION FIN. ASST 396,579	AMT. ACTION DIR. ASST 0	

The purpose of this amended Notice of Cooperative Agreement is as follows:

1. To incorporate the clause, "Health Insurance Portability and Accountability Act of 1996 (HIPPA)".

Pursuant to the Standards for Privacy of Individually Identifiable Health Information promulgated under the Health Insurance Portability and Accountability Act (HIPPA)(45 CFR Parts 160 and 164), covered entities may disclose protected health information to public health authorities "...authorized by law to collect or receive such information for the purpose of preventing or controlling disease, injury, or disability, including, but not limited to, the reporting disease, injury, vital events such as birth or death, and the conduct of public health surveillance, public health investigations, and public health interventions..." The definition of a public health authority includes "...a person or entity acting under a grant of authority from or contract with such public agency..." Through this agreement, the State Health Department is acting under a grant of authority from CDC to carry out HIV/AIDS Surveillance Programs which are authorized by 317 (k) (2) 42 USC 247B(k)(2). The CDC grants this authority for purposes of this project. Further, CDC considers this to be disease reporting for which disclosure of protected health information by covered entities is authorized by section 164.512(b) of the Privacy Rule (45 CFR 164.512(b)).

2. To provide direction relative to the extension and closeout of all components of Program Announcement 00005. Specifically, for performance under the three (3) key components of Program Announcement 00005:

- Core Surveillance Components
- Supplement to HIV/AIDS Surveillance (SHAS)/ Adult and Adolescent Spectrum of HIV Disease (ASD) Components
- Context of HIV Infection Project (CHIP) Components

- 2A. To provide a three (3) month no cost extension. This extension will allow for the continuation of performance at current levels to begin and end as follows:

- Begin Date: January 1, 2004
- End Date: March 31, 2004

Per mutual agreement there are adequate funds remaining which will support continued performance of program requirements through March 31, 2004. Continued performance shall be in support of All components which are encompassed in Program Announcement Number 00005 to include All Core Surveillance components, All SHAS/ ASD components, and all CHIPS components.

- 2B. For SHAS/ASD components only, the Government anticipates the award of a three (3) month cost extension in the amount of \$167,729 to begin and end as follows:

- Begin Date: April 1, 2004
- End Date: June 30, 2004

Per mutual agreement these funds shall allow for continued performance of SHAS/ ASD program requirements through June 30, 2004.

- ✓ 2C. For CHIPS components only, the Government anticipates the award of a nine (9) month cost extension in the amount of \$396,579 to begin and end as follows:

- Begin Date: April 1, 2004
- End Date: December 31, 2004

Per mutual agreement these funds shall allow for continued performance of SHAS/ ASD program requirements through December 31, 2004.

3. With the initiation of performance of this Cooperative Agreement requirement, the Recipient hereby agrees to be bound by the terms and conditions (Notes and Provisions) identified herein. All other terms and conditions remain in full effect.

NOTE 1: UNOBLIGATED BALANCE

The amount of no unobligated funds from prior budget periods is carried forward for use in this budget period.

Carryover of unobligated funds identified in an Interim Progress Report (Continuation request) or a Financial Status Report (FSR), SF 269, is subject to a reduction in the awarded amount in the event that a final FSR is submitted for an amount less than the previously report amount.

NOTE 2: DIRECT ASSISTANCE FUNDS

No direct assistance funds (DA) are awarded under this amended NCA.

NOTE 3: FINAL REPORTING REQUIREMENTS:

Final Progress Report and Annual Financial Status Report:

An authorized official of the grantee business office shall initiate the report. It shall include stated coordination with the either the principal investigator or program director. It must include the title, institution, telephone number, fax number and e-mail address of both officials. Internal institutional guidelines for the evaluation and approval of the report are the responsibility of the grantee institution. The report, when submitted to CDC, must be transmitted by the authorized institutional official.

The report should include the complete grant number and Program Announcement.

The report should be sent to the Grants Management Specialist , a copy should also be furnished to the the Program Project Officer.

CONTENT OF REPORT:

The submission of this report shall constitute the final required report under Program Announcement 00005.

The progress report must include the following for each program, function, or activity involved:

- (1) a description of the program accomplishments and a comparison of actual accomplishments with the objectives established in the work plan for the funding period;
- (2) other pertinent information that includes, but not limited to analysis and explanation of unexpected delays or high costs of performance and a listing of presentations and publications produced by, supported by, or related to program activities; and
- (3) summary of data (subject to approval by the Office of Management and Budget (OMB)).

Due Dates:

PA 00005 Components with complete end date of March 31, 2004:	June 30, 2004
PA 00005 SHAS/ASD Components with complete end date of June 30, 2004:	September 30, 2004
PA 00005 CHIPS Components with complete end date of December 31, 2004:	March 31, 2005

Your financial status report (FSR) must be submitted as follows:

An **ANNUAL** Financial Status Report (FSR) must be submitted within 90 days after the end of the budget period. This report must be submitted on the Form SF269, and include only those funds authorized and actually expended during the prior year budget period.

A **FINAL** Progress report is due 90 days after the project period end date. The final report should summarize the entire project. (NOTE: The format for the progress report may be obtained from your project officer).

Submissions shall be sent directly to:

Ann Cole, Grants Management Specialist
Centers for Disease Control and Prevention (CDC)
Procurement and Grants Office
2920 Brandywine Road, Room 3000, MS E-15
Atlanta, GA 30341-5539
e-mail address: zlr5@cdc.gov

Due Dates:

PA 00005 Components with complete end date of March 31, 2004:	June 30, 2004
PA 00005 SHAS/ASD Components with complete end date of June 30, 2004:	September 30, 2004
PA 00005 CHIPS Components with complete end date of December 31, 2004:	March 31, 2005

NOTE 4

CDC CONTACT LIST

GRANTS MANAGEMENT SPECIALIST

Ann Cole
Centers for Disease Control and Prevention (CDC)
Procurement and Grants Office
2920 Brandywine Road, Room 3000, MS E-15
Atlanta, GA 30341-5539
Telephone: (770) 488-2731
Fax: (770) 488-2670
e-mail address: zlr5@cdc.gov

CDC PROJECT OFFICER

James Carey
Project Officer
Centers for Disease Control & Prevention (CDC)
Division of HIV/AIDS Prevention
Corporate Square, Bldg. 8, MS, E-47
Atlanta, GA 30333
Telephone: (404) 639-1903
Fax: (404) 639-1950
E-Mail: jfc9@cdc.gov

SPECIAL PROVISIONS

The following provisions are incorporated by reference from Title 45 - Public Welfare, Subtitle DHHS, Part 92 -

92.3	Definitions.
92.4	Applicability.
92.5	Effect on other issuances.
92.6	Additions and exceptions.
92.11	State plans.
92.12	Special grant or subgrant conditions for "high-risk" grantee
92.23	Period of availability of funds.
92.24	Matching or cost sharing.
92.25	Program income.
92.31	Real property.
92.32	Equipment.
92.33	Supplies.
92.34	Copyrights.
92.35	Subawards to debarred and suspended parties.
92.36	Procurement.
92.37	Subgrants.
92.42	Retention and access requirements for records.
92.52	Collection of amounts due.

Provisions incorporated by reference have the same force and effect as if they were given in full text. Source: 45 CFR Part 92. Copies of Code of Federal Regulation volumes are available in many libraries and for purchase from the Superintendent of Documents, Government Printing Office, Washington, D.C. 20402. Copies of OMB Circulars referenced in the provisions may be obtained from the Office of Administration, Publications Unit, Room G-236, New Executive Office Building, Washington, D.C. 20503. An index of existing Circulars is contained in 5 CFR 1310.

02/11/2004

93.944

DEPARTMENT OF HEALTH AND HUMAN SERVICES
PUBLIC HEALTH SERVICE
CENTERS FOR DISEASE CONTROL AND PREVENTION

NOTICE OF COOPERATIVE AGREEMENT

AUTHORIZATION (LEGISLATION/REGULATION)

PHS ACT, SECT 301(A), 311, 317(K)(3)

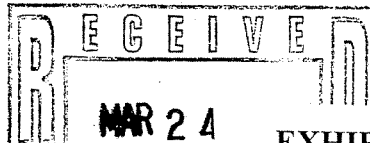


EXHIBIT II

HIV EPIDEMIOLOGY

SUPERSEDES AWARD NOTICE DATED 02/05/2004
STATE THAT ANY ADDITIONS OR RESTRICTIONS PREVIOUSLY IMPOSED REMAIN IN EFFECT UNLESS SPECIFICALLY RESCINDED.

GRANT NO. U62/CCU906253-12-6
5. ADMINISTRATIVE CODES CCU62

PROJECT PERIOD FROM 01/01/1991 THROUGH 12/31/2004

BUDGET PERIOD FROM 01/01/2003 THROUGH 12/31/2004

TITLE OF PROJECT (OR PROGRAM)

HIV/AIDS SURVEILLANCE & SEROPREVALENCE

GRANTEE NAME AND ADDRESS

LOS ANGELES COUNTY DEPT OF HEALTH SVCS
OFFICE OF AIDS PROGRAMS AND POLICY
600 S. COMMONWEALTH AVE, SUITE 1920
LOS ANGELES, CA 90005

10. DIRECTOR OF PROJECT (PROGRAM DIRECTOR/PRINCIPAL INVESTIGATOR)

PAUL SIMON, M.D. MPH
OFFICE OF HLTH ASSESSMENT & EPIDEMIOLOGY
313 N. FIGUEROA RM 127
LOS ANGELES, CA 90012

1. APPROVED BUDGET (EXCLUDES PHS DIRECT ASSISTANCE)

PHS GRANT FUNDS ONLY
TOTAL PROJECT COSTS INCLUDING GRANT FUNDS AND ALL OTHER FINANCIAL PARTICIPATION

(PLACE NUMERAL ON LINE)

I

SALARIES AND WAGES.....\$ 1,912,939

FRINGE BENEFITS.....\$ 645,497

TOTAL PERSONNEL COSTS.....\$ 2,558,436

CONSULTANT COSTS.....\$ 50,000

EQUIPMENT.....\$ 20,200

SUPPLIES.....\$ 62,835

TRAVEL.....\$ 26,200

PATIENT CARE-INPATIENT.....\$ 0

PATIENT CARE-OUTPATIENT.....\$ 0

ALTERATIONS AND RENOVATIONS.....\$ 0

OTHER.....\$ 753,473

CONSORTIUM/CONTRACTUAL COSTS.....\$ 2,379,659

TRAINEE RELATED EXPENSES.....\$ 0

TRAINEE STIPENDS.....\$ 0

TRAINEE TUITION AND FEES.....\$ 0

TRAINEE TRAVEL.....\$ 0

TOTAL DIRECT COSTS.....\$ 5,850,803

INDIRECT COSTS (0.00 % OF S&W/TADC) \$ 569,699

TOTAL APPROVED BUDGET.....\$ 6,420,502

AIR FEE.....\$ 0

FEDERAL SHARE.....\$ 6,420,502

NON-FEDERAL SHARE.....\$ 0

12. AWARD COMPUTATION FOR FINANCIAL ASSISTANCE

A. AMOUNT OF PHS FINANCIAL ASSISTANCE (FROM 11.U).....\$ 6,420,502

B. LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIODS...\$ 2,986,690

C. LESS CUMULATIVE PRIOR AWARD(S) THIS BUDGET PERIOD...\$ 3,436,479

D. AMOUNT OF FINANCIAL ASSIST. THIS ACTION \$ -2,667

13. RECOMMENDED FUTURE SUPPORT (SUBJECT TO THE AVAILABILITY OF FUNDS AND SATISFACTORY PROGRESS OF THE PROJECT)

BUDGET YEAR	TOTAL DIRECT COSTS	BUDGET YEAR	TOTAL DIRECT COSTS
A. 0	0	D. 0	0
B. 0	0	E. 0	0
C. 0	0	F. 0	0

14. APPROVED DIRECT ASSISTANCE BUDGET (IN LIEU OF CASH)

A. AMOUNT OF PHS DIRECT ASSISTANCE.....\$ 0

B. LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIODS...\$ 0

C. LESS CUMULATIVE PRIOR AWARDS FROM THIS BUDGET PERIOD...\$ 0

D. AMOUNT OF DIRECT ASSISTANCE THIS ACTION \$ 0

15. PROGRAM INCOME SUBJECT TO 45 CFR PART 74, SUBPART F, OR 45 CFR 92.25, SHALL BE USED IN ACCORDANCE WITH ONE OF THE FOLLOWING ALTERNATIVES: (SELECT ONE AND PUT LETTER IN BOX.)

A. DEDUCTION

B. ADDITIONAL COSTS

C. MATCHING

D. OTHER RESEARCH (ADD/DEDUCT OPTION)

E. OTHER (SEE REMARKS)

B

THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE PHS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:
A. THE GRANT PROGRAM LEGISLATION CITED ABOVE. B. THE GRANT PROGRAM REGULATION CITED ABOVE. C. THIS AWARD NOTICE INCLUDING TERMS AND CONDITIONS, IF ANY, NOTED BELOW UNDER REMARKS. D. PHS GRANTS POLICY STATEMENT INCLUDING ADDENDA IN EFFECT AS OF THE BEGINNING DATE OF THE BUDGET PERIOD. E. 45 CFR PART 74 OR 45 CFR PART 92 AS APPLICABLE. IN THE EVENT THERE ARE CONFLICTING OR OTHERWISE INCONSISTENT POLICIES APPLICABLE TO THE GRANT, THE ABOVE ORDER OF PRECEDENCE SHALL PREVAIL. ACCEPTANCE OF THE GRANT TERMS AND CONDITIONS IS ACKNOWLEDGED BY THE GRANTEE WHEN FUNDS ARE DRAWN OR OTHERWISE OBTAINED FROM THE GRANT PAYMENT SYSTEM.

REMARKS (OTHER TERMS AND CONDITIONS ATTACHED - ☒ YES ☐ NO)

SPONSOR: NATIONAL CENTER FOR HIV PREVENTION
*IDC RATE BASE: SEE ATTACHED

GRANTS MANAGEMENT OFFICER: (SIGNATURE)

(NAME-TYPED/PRINT)

(TITLE)

CARLOS M. SMILEY

GRANTS MANAGEMENT OFFICER

OBJ. CLASS. 41.51

18. CRS.EIN: 1-956000927-A1

19. LIST NO.: -

FY-CAN

DOCUMENT NO.

ADMINISTRATIVE CODE

AMT. ACTION FIN. ASST

AMT. ACTION DIR. ASST

04-11515 04-9213063

B. CCU906253

C. CCU62

D. -2,667

E. 0

NOTICE OF COOPERATIVE AGREEMENT	PAGE 2 OF 2	DATE ISSUED
AWARD (Continuation Sheet)	AWARD NO.	U62/CCU906253-12-6

The purpose of this amended Notice of Cooperative Agreement is as follows:

NOTE 1: This amendment is to correct the amount of CHIPS funds awarded in amendment number 5. The CHIPS portion of this award is \$393,912 which is amendment 6. A spreadsheet is attached for a breakdown of all the funds in this award amendment.

For CHIP components only, the Government anticipates the award of a nine (9) month cost extension in the amount of \$393,912 which corrects amendment 5 dated February 5, 2004 to begin and end as follows:

- Begin Date: April 1, 2004
- End Date: December 31, 2004

The attached spreadsheet summarizes the total approved funding for the CHIP, ASD, and SHAS components on this award for amendments 5, 6, and 7.

NOTE 2: UNOBLIGATED BALANCE

The amount of no unobligated funds from prior budget periods is carried forward for use in this budget period.

Carryover of unobligated funds identified in an Interim Progress Report (Continuation request) or a Financial Status Report (FSR), SF 269, is subject to a reduction in the awarded amount in the event that a final FSR is submitted for an amount less than the previously report amount.

NOTE 3: All other terms and conditions remain in full effect.

03/11/2004 10:57

SUPERSEDES AWARD NOTICE DATED 02/11/2004

EPT THAT ANY ADDITIONS OR RESTRICTIONS

VIOUSLY IMPOSED REMAIN IN EFFECT UNLESS SPECIFICALLY RESCINDED.

GRANT NO. U62/CCU906253-12-7

5. ADMINISTRATIVE CODES CCU62

PROJECT PERIOD 01/01/1991 THROUGH 12/31/2004

BUDGET PERIOD 01/01/2003 THROUGH 12/31/2004

PUBLIC HEALTH SERVICE
CENTERS FOR DISEASE CONTROL AND PREVENTION

NOTICE OF COOPERATIVE AGREEMENT

AUTHORIZATION (LEGISLATION/REGULATION)

PHS ACT SECT 301(A), 311, 317(K)(3)

RECEIVED
MAR 22
EXHIBIT III
HIV EPIDEMIOLOGY

TITLE OF PROJECT (OR PROGRAM)
HIV/AIDS SURVEILLANCE & SEROPREVALENCE

GRANTEE NAME AND ADDRESS
LOS ANGELES COUNTY DEPT OF HEALTH SVCS
OFFICE OF AIDS PROGRAMS AND POLICY
600 S. COMMONWEALTH AVE, SUITE 1920
LOS ANGELES, CA 90005

10. DIRECTOR OF PROJECT (PROGRAM DIRECTOR/PRINCIPAL INVESTIGATOR)
PAUL SIMON, M.D. MPH
OFFICE OF HLTH ASSESSMENT & EPIDEMIOLOG
313 N. FIGUEROA RM 127
LOS ANGELES, CA 90012

APPROVED BUDGET (EXCLUDES PHS DIRECT ASSISTANCE)

PHS GRANT FUNDS ONLY
TOTAL PROJECT COSTS INCLUDING GRANT FUNDS AND ALL OTHER FINANCIAL PARTICIPATION

(PLACE NUMERAL ON LINE) I

SALARIES AND WAGES.....\$	1,929,412
FRINGE BENEFITS.....\$	651,378
TOTAL PERSONNEL COSTS.....\$	2,580,790
CONSULTANT COSTS.....	50,000
EQUIPMENT.....	82,400
SUPPLIES.....	62,931
TRAVEL.....	26,200
PATIENT CARE-INPATIENT.....	0
PATIENT CARE-OUTPATIENT.....	0
ALTERATIONS AND RENOVATIONS.....	0
OTHER.....	693,199
CONSORTIUM/CONTRACTUAL COSTS.....	2,517,059
TRAINEE RELATED EXPENSES.....	0
TRAINEE STIPENDS.....	0
TRAINEE TUITION AND FEES.....	0
TRAINEE TRAVEL.....	0
TOTAL DIRECT COSTS.....\$	6,012,579
INDIRECT COSTS (0.00 % OF S&W/TADC) \$	574,525
TOTAL APPROVED BUDGET.....\$	6,587,104
SBIR FEE.....\$	0
FEDERAL SHARE.....\$	6,587,104
NON-FEDERAL SHARE.....\$	0

12. AWARD COMPUTATION FOR FINANCIAL ASSISTANCE

A. AMOUNT OF PHS FINANCIAL ASSISTANCE (FROM 11.U).....\$	6,587,104
B. LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIODS..\$	2,986,690
C. LESS CUMULATIVE PRIOR AWARD(S) THIS BUDGET PERIOD...\$	3,433,812
D. AMOUNT OF FINANCIAL ASSIST.THIS ACTION \$	166,602

13. RECOMMENDED FUTURE SUPPORT (SUBJECT TO THE AVAILABILITY OF FUNDS AND SATISFACTORY PROGRESS OF THE PROJECT)

BUDGET YEAR	TOTAL DIRECT COSTS	BUDGET YEAR	TOTAL DIRECT COSTS
A. 0	0	D. 0	0
B. 0	0	E. 0	0
C. 0	0	F. 0	0

14. APPROVED DIRECT ASSISTANCE BUDGET (IN LIEU OF CASH)

A. AMOUNT OF PHS DIRECT ASSISTANCE.....\$	0
B. LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIODS..\$	0
C. LESS CUMULATIVE PRIOR AWARDS FROM THIS BUDGET PERIOD\$	0
D. AMOUNT OF DIRECT ASSISTANCE THIS ACTION \$	0

15. PROGRAM INCOME SUBJECT TO 45 CFR PART 74, SUBPART F, OR 45 CFR 92.25, SHALL BE USED IN ACCORDANCE WITH ONE OF THE FOLLOWING ALTERNATIVES: (SELECT ONE AND PUT LETTER IN BOX.)

A. DEDUCTION
B. ADDITIONAL COSTS
C. MATCHING
D. OTHER RESEARCH (ADD/DEDUCT OPTION)
E. OTHER (SEE REMARKS)

B

THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE PHS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING: A. THE GRANT PROGRAM LEGISLATION CITED ABOVE. B. THE GRANT PROGRAM REGULATION CITED ABOVE. C. THIS AWARD NOTICE INCLUDING TERMS AND CONDITIONS, IF ANY, NOTED BELOW UNDER REMARKS. D. PHS GRANTS POLICY STATEMENT INCLUDING ADDENDA IN EFFECT AS OF THE BEGINNING DATE OF THE BUDGET PERIOD. E. 45 CFR PART 74 OR 45 CFR PART 92 AS APPLICABLE. IN THE EVENT THERE ARE CONFLICTING OR OTHERWISE INCONSISTENT POLICIES APPLICABLE TO THE GRANT, THE ABOVE ORDER OF PRECEDENCE SHALL PREVAIL. ACCEPTANCE OF THE GRANT TERMS AND CONDITIONS IS ACKNOWLEDGED BY THE GRANTEE WHEN FUNDS ARE DRAWN OR OTHERWISE OBTAINED FROM THE GRANT PAYMENT SYSTEM.

MARKS (OTHER TERMS AND CONDITIONS ATTACHED - ☒ YES ☐ NO)

SPONSOR: NATIONAL CENTER FOR HIV PREVENTION

*IDC RATE BASE: SEE ATTACHED

5 GRANTS MANAGEMENT OFFICER: (SIGNATURE) CARLOS M. SMILEY (NAME-TYPED/PRINT) GRANTS MANAGEMENT OFFICER (TITLE)

OBJ. CLASS. 41.51 18. CRS.EIN: I-956000927-A1 19. LIST NO.: CO-064-L04

FY-CAN	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT.ACTION FIN.ASST	AMT.ACTION DIR.ASST
04-11515 04-9213040	B. CCU906253	C. CCU62	D. 105,784	E. 0
04-11515 04-9213036	B. CCU906253	C. CCU62	D. 60,818	E. 0

-5152-1 (REV.7/92) (MODIFIED CDC VERSION 10/92)

NOTICE OF COOPERATIVE AGREEMENT	PAGE 2 OF 2	DATE ISSUED
AWARD (Continuation Sheet)	AWARD NO.	U62/CCU906253-12-7

The purpose of this amended Notice of Cooperative Agreement is as follows:

NOTE 1: This amendment is to provide a three (3) month cost extension for SHAS/ASD components and redirect \$62,200 to equipment as stated in the request February 20, 2004.

For SHAS/ASD components only, the Government anticipates the award of a three month cost extension in the amount of \$166,602 to begin and end as follows:

- Begin Date: April 1, 2004
- End Date: June 30, 2004

The following is a breakout of approved funding as applicable to each of the components that comprise the ASD/SHAS Components:

SHAS	-	\$60,818
ASD	-	\$105,784
TOTAL:		\$166,602

NOTE 2: **UNOBLIGATED BALANCE**

The amount of no unobligated funds from prior budget periods is carried forward for use in this budget period.

Carryover of unobligated funds identified in an Interim Progress Report (Continuation request) or a Financial Status Report (FSR), SF 269, is subject to a reduction in the awarded amount in the event that a final FSR is submitted for an amount less than the previously report amount.

NOTE 3: All other terms and conditions remain in full effect.

LOS ANGELES COUNTY - DEPARTMENT OF HEALTH SERVICES
HEALTH ASSESSMENT AND EPIDEMIOLOGY
SURVEILLANCE & SEROPREVELANCE PROGRAM

Notice of Cooperative Award #: CCU62/CCU906253-12-7

Grant Period: 01/01/03 -12/31/03 Extended to 12/31/04

POSITION/ITEM	FTE %	# of Months	(1) Budget 1/1/03 to 12/31/03	(2) Actual Expenditures 1/1/03 to 12/31/03	(3) Available Funds as of 1/1/2004 (1)-(2)	(4) Amendment #4 Additional funding thru 6/30/04	(5) Amendment #5 & 6 funding thru 6/30/04	(6) Amendment # 7 funding thru 12/31/2004	(7) Available funding 1/1/04 - 12/31/04 (3) thru (6)	(8) TOTAL Grant Funds 1/1/03 - 12/31/04 (1)+(4)+(5)+(6)
I. Full Time Personnel										
1 Accountant III	100%	15	\$51,756	\$51,075	\$681	\$12,258			\$12,939	\$64,014
1 Administrative Assistant III	19%	12	0	0	0	3,949	4,140	1,242	9,331	9,331
1 Asst., Prog. Specialist PHN	100%	15	69,240	68,941	299	17,310			17,609	86,550
0 Asst. Staff Analyst	100%	12	44,037	16,154	27,883				27,883	44,037
1 Chief Epidemiologist	70%	24	43,705	46,439	(2,734)	3,164		3,164	3,594	50,033
4 Community Svcs. Counselor	100%	24	148,944	143,568	5,376				5,376	148,944
3 Data Conver. Equip. Operator II	100%	24	104,996	101,598	3,398	17,268	13,298	0	33,964	135,562
2 Data Conver. Supervisor I	100%	15	57,414	48,895	8,519	9,399			17,918	66,813
1 EDP Prog. Analyst II	75%	12	39,672	41,543	(1,871)				(1,871)	39,672
4 Epidemiologist	100%	15	245,346	229,463	15,883	54,975		0	70,858	300,321
4 Epidemiologist	61%	24	234,260	221,744	12,516		55,460	8,403	76,379	298,123
1 Information System Analyst II	100%	24	58,704	62,352	(3,648)	8,522	11,621	0	16,495	78,847
1 Public Health Investigator	100%	15	48,432	45,514	2,918	12,108			15,026	60,540
3 Public Health Nurse	100%	15	181,932	192,450	(10,518)	31,920			21,402	213,852
1 Research Analyst I	50%	12	37,236	30,554	6,682				6,682	37,236
1 Research Analyst II	100%	12	40,452	40,320	132				132	40,452
1 Research Analyst III	100%	15	12,594	0	12,594				12,594	12,594
1 Secretary III	100%	15	38,202	37,398	804	6,283			7,087	44,485
1 Staff Analyst	100%	24	58,627	58,629	(2)	8,244	3,664	1,832	13,738	72,367
1 Senior Staff Analyst	40%	15	33,644	33,319	325	8,329			8,654	41,973
1 Senior Typist Clerk	100%	15	33,996	31,913	2,083	8,385			10,468	42,381
34 Subtotal- Full Time Employee Salary			1,583,189	1,501,870	81,319	202,114	88,183	14,641	386,257	1,888,127
Employee Benefits			535,186	567,997	(32,811)	72,153	31,481	5,227	76,050	644,047
Nursing Retention Bonus			3,960	3,600	360	990			1,350	4,950
Bilingual Bonus				4,675	(4,675)				(4,675)	0
Total Full Time Personnel			2,122,335	2,078,142	44,193	275,257	119,664	19,868	458,982	2,537,124
Part Time Personnel										
3 Student Professional Worker	50%		30,177	27,810	2,367	7,443			9,810	37,620
Employee Benefits Part time @ 2.85%			861	793	68	213			281	1,074
Total Part Time Personnel			31,038	28,602	2,436	7,656	0	0	10,092	38,694
Total Personnel			2,153,373	2,106,745	46,628	282,913	119,664	19,868	469,073	2,575,818

LOS ANGELES COUNTY - DEPARTMENT OF HEALTH SERVICES
HEALTH ASSESSMENT AND EPIDEMIOLOGY

SURVEILLANCE & SEROPREVELANCE PROGRAM

Notice of Cooperative Award #: CCU62/CCU906253-12-7

Grant Period: 01/01/03 -12/31/03 Extended to 12/31/04

POSITION/ITEM	FTE %	# of Months	(1) Budget 1/1/03 to 12/31/03	(2) Actual Expenditures 1/1/03 to 12/31/03	(3) Available Funds as of 1/1/2004 (1) - (2)	(4) Amendment #4 Additional funding thru 6/30/04	(5) Amendment #5 & 6 funding thru 6/30/04	(6) Amendment # 7 funding thru 12/31/2004	(7) Available funding 1/1/04 - 12/31/04 (3) thru (6)	(8) TOTAL Grant Funds 1/1/03 - 12/31/04 (1)+(4)+(5)+(6)
II. OPERATING EXPENSES										
Mileage/Parking			40,000	24,472	15,528	301	4,632	289	20,750	45,222
Office Supplies			80,000	61,479	18,521	210	1,481	1,757	21,969	83,448
Clinic Supplies			8,500	4,291	4,209				4,209	8,500
Computer Expenses			82,400	2,995	79,405				79,405	82,400
Laboratory Expenses			71,733	10,630	61,103		9,450	0	70,553	81,183
SUDS Kits			0	0	0				0	0
General Laboratory Supplies			3,500	3,405	95				95	3,500
Courier Services			100	63	37				37	100
Non-Cash recruitment Incentives			65,000	7,605	57,395		18,000	0	75,395	83,000
CTS/ATS Reimbursement			3,750	0	3,750				3,750	3,750
Photocopy Maintenance & Supplies			1,000	0	1,000				1,000	1,000
Printing & Duplication			44,300	3,832	40,468				40,468	44,300
Travel			42,000	21,814	20,186		2,200	0	22,386	44,200
Training			2,000	3,546	(1,546)				(1,546)	2,000
Gasoline			0	0	0				0	0
Vehicle Maintenance & Insurance			6,000	3,772	2,228				2,228	6,000
Space			201,437	125,135	76,302	23,100	20,880	1,498	121,780	246,915
Telephone			27,600	21,212	6,388				6,388	27,600
Graphic Artist			5,000	400	4,600				4,600	5,000
Bus Tokens			0	0	0				0	0
Other			0	0	0				0	0
Consultant			50,000	0	50,000				50,000	50,000
Participant Reimbursement			90,525	22,513	68,012	1,500		1,500	71,012	93,525
Sub-Contractors										
Kaiser Permanente			127,816	49,309	78,507	34,563		34,563	147,633	196,942
LAC+USC Medical Center (HRA)			320,841	99,158	221,683	80,209		80,210	382,102	481,260
Harbor - UCLA Medical Center (REI)			106,025	83,131	22,894	22,626		22,627	68,147	151,278
AIDS Project Los Angeles			0	0	0			0	0	0
Consultant (CHIP)			30,000	0	30,000			0	30,000	30,000
Simpson & Simpson (Personnel Services)			1,474,936	797,459	677,477		191,774	0	869,251	1,666,710
Total Operating Expenses			2,884,462	1,346,223	1,538,240	162,509	248,417	142,444	2,091,610	3,437,833
III. Indirect Cost										
(On Full time & Part time Salaries)			481,945	392,500	89,445	61,388	25,831	4,289	180,953	573,453
IV. TOTAL BUDGET			\$5,519,780	\$3,845,467	\$1,674,313	\$506,810	\$393,912	\$166,602	\$2,741,637	\$6,587,104